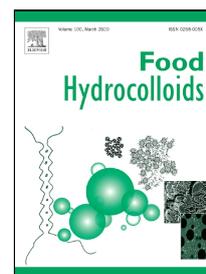


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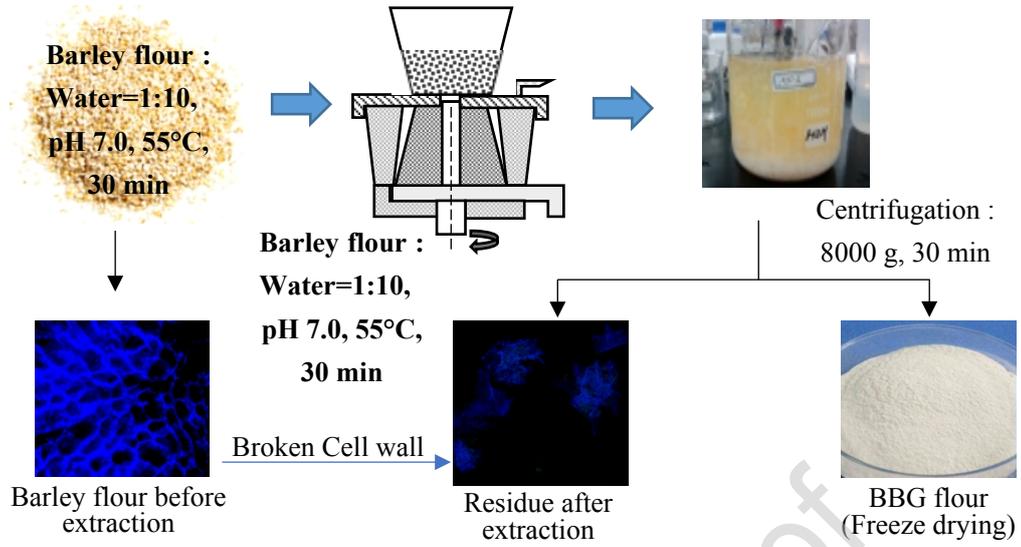


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## **Different Aggregation States of Barley $\beta$ -Glucan Molecules Affects Their Solution Behavior: A Comparative Analysis**

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1 **Abstract:** Barley  $\beta$ -glucan (BBG) is of admirable health advantages, the  
2 physiochemical and functional properties of BBG might be affected by its extraction  
3 process. The new high speed centrifugal-vortex extraction method was compared to a  
4 traditional method, and the extracted BBG was treated by dynamic high-pressure  
5 microfluidization (DHPM) to analysis the effect of the extraction and DHPM on  
6 molecular weight (MW), solution behavior and structural features of BBG. The new  
7 method reduced the extraction time by half, increased the yield by about 10%. The  
8 DHPM treatment made the BBG viscosity lower and the aggregation particle size  
9 distribution narrower. Although the molecular weight was reduced by DHPM treatment,  
10 the FT-IR and microstructure results showed that the exogenous physical treatment did  
11 not change the primary structure. These results indicated that the solution behavior of  
12 BBG affected by exogenous physical treatment was due to the changes of  
13 intermolecular aggregation states and high-level structure of BBG molecules.

14 **Key words:** barley  $\beta$ -glucan; molecular conformation; aggregation states; solution  
15 behavior; physical treatment; extraction

## 16 **1. Introduction**

17 Barley is the fourth largest grain crop in the world and is rich in barley  $\beta$ -glucan  
18 (BBG). BBG is a linear homopolymer linked with  $\beta$ -(1 $\rightarrow$ 3),(1 $\rightarrow$ 4)-D-glycosidic bonds,  
19 of which mostly two or three consecutive  $\beta$ -(1 $\rightarrow$ 4) linkages are interrupted by a single  
20  $\beta$ -(1 $\rightarrow$ 3) linkage(Izydorczyk & Dexter, 2008). BBG has been approved by the FDA  
21 (U.S. Food and Drug Administration) and the EFSA (European Food Safety Authority)

22 to have the functional characteristics of reducing the risk of cardiovascular disease  
23 (Huang, et al., 2017; C. Zielke, et al., 2017). A variety of physiological functions of  
24 BBG have been reported, such as reducing postprandial blood glucose(Singhal &  
25 Kaushik, 2016; S. M. Tosh, 2013), lowering serum cholesterol (M. S. Mikkelsen,  
26 Jensen, & Nielsen, 2017; Wood, 2007) and promoting intestinal health(Miyamoto, et  
27 al., 2018). However, while barley and BBG possess good nutritional properties, they  
28 are mainly used in the elaboration of alcoholic beverages and feed industries (Mosele,  
29 Motilva, & Ludwig, 2018). BBG is not commonly used in food ingredients, probably  
30 because of its sensorial properties and/or limited technological (Izydorczyk, et al.,  
31 2008), for example, complex BBG extraction process and higher extraction costs (Zhu,  
32 Du, & Xu, 2016). Achieving the full advantages of BBG demands its easy availability  
33 in great numbers and this claims the efforts on the efficient extraction of BBG.

34 BBG can be extracted in varying measures depending on the conditions used,  
35 include water extraction (C. Zielke, et al., 2017), acid/alkali extraction (Kasprzak,  
36 Laerke, & Knudsen, 2012), and enzymatic extraction (Lazaridou & Biliaderis, 2007).  
37 In the water extraction, as the extraction temperature increases from 40 to 95 °C, the  
38 recovery of  $\beta$ -glucan increases from 20% (Storsley, Izydorczyk, You, Biliaderis, &  
39 Rossnagel, 2003) to 75% (Beer, Wood, & Weisz, 1997). However, the more starch is  
40 gelatinized and dissolved at high water temperature, thus the purity tends to decrease  
41 (Comino, Shelat, Collins, Lahnstein, & Gidley, 2013). The water extraction method  
42 generally lasts for a long time (about 7 d)(Maheshwari, Sowrirajan, & Joseph, 2017),

43 leads to low intrinsic viscosity and molecular weight of BBG (Saulnier, Gévaudan, &  
44 Thibault, 1994). While the acidic or alkaline extractions are chemically treated  
45 extractions that can destroy the cell structures and promote BBG dissolution. The alkali  
46 extraction can increase the extraction levels to 86-100%, but lead to the degradation of  
47 BBG molecules (Beer, et al., 1997; Comino, et al., 2013). The enzymatic extraction,  
48 when combined with acid/alkaline extraction, shows the highest yield and highest  
49 molecular weight of  $\beta$ -glucan (Ahmad, Anjum, Zahoor, Nawaz, & Ahmed, 2010;  
50 Maheshwari, et al., 2017). However, the enzymatic extraction process is complex, harsh  
51 conditions and costly. These extraction methods are difficult to industrialize and to  
52 expand the scale of production, resulting in a higher cost of BBG (Maheshwari, et al.,  
53 2017). Therefore, we established a new method assisted by high speed centrifugal-  
54 vortex (HSCV) to break the cell walls of barley to accelerate the extraction of BBG.

55 The HSCV extraction was carried out by a colloid mill. Colloid mill equipment  
56 can work continuously, with high throughput and easy to expand production scale,  
57 making it suitable for industrial production. Colloid mill is a centrifugal device, work  
58 on the rotor-stator principle: crushing grinding relies on the toothed bevel disc (rotor  
59 and stator) relative motion. The resulting high-frequency vibrations and high-speed  
60 vortex squeeze applied to the process liquid and disrupt cell walls of barley in the fluid,  
61 thereby improving the extraction efficiency of BBG.

62 The admirable health advantages of BBG have been proved in many studies  
63 (Makela, Sontag-Strohm, et al., 2017; Rieder, Knutsen, & Ballance, 2017; Singhal, et

64 al., 2016), the beneficial of BBG shows molecular weight and fine structure-dependent  
65 (Rieder, Grimmer, Kolset, Michaelsen, & Knutsen, 2011; Skau-Mikkelsen, Jespersen,  
66 Mehlsen, Engelsen, & Frokiaer, 2014). It is noteworthy to note that the molecular  
67 weight, solution behavior and structural features of BBG are significantly affected by  
68 the extraction method. Therefore, this study compared the basic properties of BBG  
69 extracted by traditional water extraction and HSCV extraction. Meanwhile, the  
70 obtained BBG was physically modified by the dynamic high-pressure microfluidization  
71 (DHPM) treatment. The DSC, TGA, FI-IR, X-Ray, rheology, particle size analysis,  
72 SEM and other analytical methods were used to analyze rheology, solution aggregation  
73 state and molecular structure of BBG, aimed to find out the reasons for the impacts of  
74 different physical treatment processes on the properties of BBG solution.

## 75 **2. Materials and Methods**

### 76 2.1 Materials

77 Barley flour (BF) was purchased from *Dafeng Deren Mairan Factory* (harvested  
78 in 2014, Suonong-16), particle size 80-100 mesh (13.8% moisture (AACC 44-15.02),  
79 11.3% protein (AACC 46-30.01), 67.9% starch (hydrolytic method and titrated by  
80  $\text{Na}_2\text{S}_2\text{O}_3$ ), 2.8%  $\beta$ -glucan (AACC Method 32-23), and 0.88% ash (AACC Method 08-  
81 01)). Pancreatin from hog pancreas was purchased from Sigma-Aldrich Shanghai  
82 Trading Co., Ltd. (Shanghai, China). Thermostable  $\alpha$ -amylase was purchased from  
83 Jiangsu Ruiyang Biotech Co., Ltd. (Wuxi, China). All other reagents used were of  
84 analytical grade, and deionized water was used throughout unless otherwise stated.

85 2.2 Extraction of Barley  $\beta$ -glucan

86 BF was pretreated with 85% ethanol at a BF-ethanol ratio of 1:10 (100 g:1 L) and  
87 refluxed at 85 °C for 2 h to inactivate endogenous enzymes. Barley  $\beta$ -glucan (BBG)  
88 was extracted by two methods. For comparison, we chose a mild extraction method that  
89 minimizes the degradation of BBG molecules as the traditional extraction method (TE-  
90 method) (Huang, et al., 2017). In the TE-method BBG was extracted at pH of 7.0,  
91 stirring and leaching at 55 °C for 2 h at a BF-water ratio of 1:10 (100 g:1 L). The second  
92 method was the high-speed centrifugal vortex method (HSCV-method) to assist in the  
93 extraction of BBG. The HSCV-method was achieved with a colloid mill (JMFB-80,  
94 Shanghai Kelao Mechanical Equipment Co., Ltd., Shanghai, China) for 30 min. The  
95 colloid mill speed is 8000 r/min and the stator and rotor clearance are set to 1.5 mm.  
96 The pH, temperature, and solid-liquid ratio were the same as TE-method. The extracts  
97 obtained by the above two methods were centrifuged at 8000 g for 15 min, and the  
98 supernatant was named as BBG extract. The contaminating starch of the BBG extract  
99 was hydrolyzed by thermostable  $\alpha$ -amylase (preheated to 95 °C and kept for 30 min) at  
100 10 units/mL, pH 6.5, and temperature of 95 °C for 30 min, cool to room temperature,  
101 known as the Enzymatic solution I. The contaminating proteins of the Enzymatic  
102 solution I were removed by pancreatin from hog pancreas at 0.05 mg/mL, pH 4.5, and  
103 40 °C for 3 h, known as the Enzymatic solution II. Enzymatic solution II was  
104 centrifuged at 8000 g for 15 min, the supernatant was adjusted to pH 7.0, and was  
105 rotovapped to 1/3 of the original volume at 55 °C. After centrifugation at 8000 g for 15

106 min, BBG was obtained by precipitating with 95% (v/v) ethanol, and then the sediments  
107 were washed twice with 95% (v/v) ethanol and freeze-dried. The recovery rate of BBG  
108 can be calculated as the following equation: BBG recovery rate =  
109  $\frac{\text{BBG extracted (mg)}}{\text{BBG content in barley flour (mg)}} \times 100\%$ .

### 110 2.3 Fluorescence microscopy analysis

111 The effect of two different extraction methods on the dissolution of glucan in  
112 barley kernels was studied by fluorescence microscopy (Sikora, Tosh, Brummer, &  
113 Olsson, 2013; Yu, Zhou, Zhu, Guo, & Peng, 2019). 50  $\mu\text{L}$  of FITC (0.25%, w/v,  
114 preferentially staining starch) and calcofluor white (0.01%, v/v, preferentially staining  
115 BBG) were sequentially added to the barley flour residue after extraction and stain for  
116 2 min. The stained samples were observed under a fluorescence microscope (Axio Vert  
117 A1, Carl Zeiss Microscopy GmbH, Jena, Germany) using a light emitting diode (LED)  
118 filter set. The excitation/emission wavelengths were 488/518 nm for FITC and 410/455  
119 for calcofluor white. Samples were viewed with a 10 $\times$  objective in an AxioCam MRC  
120 Zeiss camera and analyzed by Zen 2012 software.

### 121 2.4 Dynamic high pressure micro-fluidization treatment of BBG

122 Accurately weighed 10 g of BBG extracted by the two methods, and added it to 1  
123 L of water, respectively. Heated the mixture to 80  $^{\circ}\text{C}$  in a water bath and oscillate  
124 intermittently to completely dissolve barley  $\beta$ -glucan. The obtained 1% BBG solutions  
125 were homogenized 3 times at 80 MPa in a dynamic high pressure micro-fluidizer (M-  
126 700 Series, Microfluidics Corp., Westwood, MA 02090, USA). After DHPM treatment,

127 BBG was precipitated with 95% ethanol, washed and freeze-dried.

## 128 2.5 Determination of BBG content and purity

129 The purity of BBG was determined by the Congo red method (Kupetz, et al., 2016).  
130  $\beta$ -glucan and Congo red dye can specifically bind, and the binding product has strong  
131 light absorption properties at 550 nm. First, prepare a BBG standard solution with a  
132 concentration of 0.1 mg / mL and dilute to a series of concentration gradients of 0.01,  
133 0.02, 0.04, 0.06, 0.08, and 0.1 mg/mL, respectively. Pipetted 1.0 ml of each  
134 concentration of BBG standard solution into 4.0 mL Congo red solution and mix well.  
135 The mixtures were protected from light at 25 ° C for 10 min. Pipetted 200  $\mu$ L of each  
136 mixture into a 96-well plate and measure the absorbance of the solution at 550 nm using  
137 a Bio-Tek microplate reader (EPOCH2, BioTek Instruments, Inc., Winooski, VT, USA)  
138 and draw a standard curve. The absorbance of the BBG sample solution was determined  
139 as described above, and the BBG content in the sample was calculated from the standard  
140 curve. The purity of BBG can be calculated as the following equation: BBG purity =

$$141 \frac{\text{BBG content calculated (mg)}}{\text{BBG sample (mg)}} \times 100\%.$$

## 142 2.6 Determination of molecular weight of BBG

143 The glucan was measured by multi-angle laser light scattering (MALLS) high  
144 performance liquid chromatography (HPLC) (Storsley, et al., 2003). The mobile phase  
145 was 0.1 mol/L NaNO<sub>3</sub> containing 0.02% NaN<sub>3</sub>, the flow rate was 0.8 ml/min, the  
146 injection volume is 10  $\mu$ L, and the TSK gel 4000 analytical column (Tosoh Biosep,  
147 Tokyo, Japan) was used, and the temperature of the column oven was 30 °C. The

148 Waters Alliance HPLC system (Waters Corporation, Milford Massachusetts, USA) is  
149 coupled with Dawn Heleos II multi-angle laser light scattering (Wyatt Technology,  
150 Germany) and a Waters Acquity refractive index (RI) detector for quantitative detection.  
151 The results were analyzed by ASTRA version 5.3.4.20 (Wyatt Technology, Germany).

## 152 2.7 Rheological Measurements

153 Accurately weighed 300 mg of different BBG samples, dissolved in 10 mL of  
154 water, and shook in a water bath at 80 °C until the BBG was completely dissolved. A  
155 proper amount of the 3% BBG solution was loaded in the 40 mm plate and plate  
156 geometry, the temperature was set at 25 °C, the gap was 1000  $\mu\text{m}$ , and the soak time  
157 was 300 s. Two types of rheological properties of the 3% solution of different BBG  
158 samples were determined by a DHR3 rheometer (TA Instruments, West Sussex, U.K.):  
159 (1) flow behavior was carried out at shear rates between 0.1 and 300  $\text{s}^{-1}$ ; (2) oscillation  
160 frequency sweep programs were frequency from 0.1 to 10 Hz at the linear viscoelastic  
161 regime. All the measurements were performed in triplicate, and a few drops of paraffin  
162 oil were added to the edge of the samples to prevent water evaporation.

## 163 2.8. Particle size distribution determination

164 The particle size of the BBG was measured by NanoBrook Omni (Brookhaven  
165 Instruments Corp. USA) equipped with an optical pump semiconductor laser (35 mW,  
166 640 nm wavelength) (Ningtyas, Bhandari, Bansal, & Prakash, 2018). The BBG sample  
167 was dissolved in distilled water at room temperature and shaken until the BBG was  
168 completely dissolved. The BBG solution was added to a plastic cuvette and measured

169 at a 90° scattering angle of 25°C.

## 170 2.9 Scanning electron microscope

171 The surface morphology of BBG was visualized with a scanning electron  
172 microscope (S-4300, Hitachi, Tokyo, Japan) at an acceleration voltage of 5.0 kV. In  
173 order to obtain a clear SEM image, dried BBG powder samples of different treatments  
174 were evenly spread onto the conductive tape and pasted onto the sample stage and  
175 sputter-coated with gold (Sputter coater E-1030, Hitachi, Tokyo, Japan) (Sullivan, et  
176 al., 2009).

## 177 2.10 Thermogravimetric analysis

178 The thermal stability of BBG was determined by thermogravimetric analysis  
179 (TGA/SDTA 851e, Mettler Toledo Corp., Zurich, Switzerland) (Nie, et al., 2019).  
180 Different BBG powder samples of approximate 3 mg were weighed into 70 µL ceramic  
181 pans, heated from 30 to 600 °C at a heating rate of 10 °C/min. The nitrogen flow rate  
182 was 50 mL/min. The thermogravimetric curve was analyzed by STARe evaluation  
183 software (Mettler Toledo Corp., Zurich, Switzerland).

## 184 2.11 X-ray diffraction analysis

185 X-ray diffraction analysis (XRD) of different BBG powder samples were carried  
186 out by an X-ray diffractometer equipped with a Cu, standard ceramic sealed tube and  
187 LYNXEYE XE-T detector (D2 PHASER, Bruker, Karlsruhe, Germany) (Miller &  
188 Fulcher, 1994). The samples were evenly spread into the XRD Specimen Holders,  
189 gently compacted and smoothed. Measurements were operating at 30 kV and 10 mA.

190 The samples were analyzed in an angular ( $2\theta$ ) range from  $4^\circ$  to  $45^\circ$  in  $0.04^\circ$  steps. Data  
191 interpretation was done using JADE 6.

## 192 2.12 Fourier transform infrared (FT-IR) analysis

193 FT-IR spectroscopy was performed on a Nicolet iS10 FT-IR spectrometer  
194 (ThermoFisher Scientific, Waltham, MA USA). BBG powder (1 mg) was thoroughly  
195 ground with 100 mg potassium bromide (KBr) powder in an agate mortar (Mette S.  
196 Mikkelsen, et al., 2010). The mixture was then pressed at approximately 10 Mpa in a  
197 metal container to tablet the KBr disc specimen. The spectrum was collected with 64  
198 scans at a resolution of  $4\text{ cm}^{-1}$  and the spectral was in the range of  $4000$  to  $400\text{ cm}^{-1}$ .  
199 Before each sample measurement, the background spectrum was scanned at room  
200 temperature using blank potassium bromide tablets and the sample scanning and  
201 background scanning methods were the same as in the environment. The spectroscopy  
202 of the BBG samples was analyzed by Omnic software (version 9.3.30, Thermo Nicolet  
203 Inc.).

## 204 2.13 Statistical Analysis.

205 All samples were independently prepared and measured in triplicates. Significant  
206 differences of evaluated parameters among different samples were performed using an  
207 analysis of variance (ANOVA) procedure with SPSS statistical software (version 22.0,  
208 SPSS, Inc., Chicago, IL, U.S.A.). The significance test level is  $p < 0.05$ .

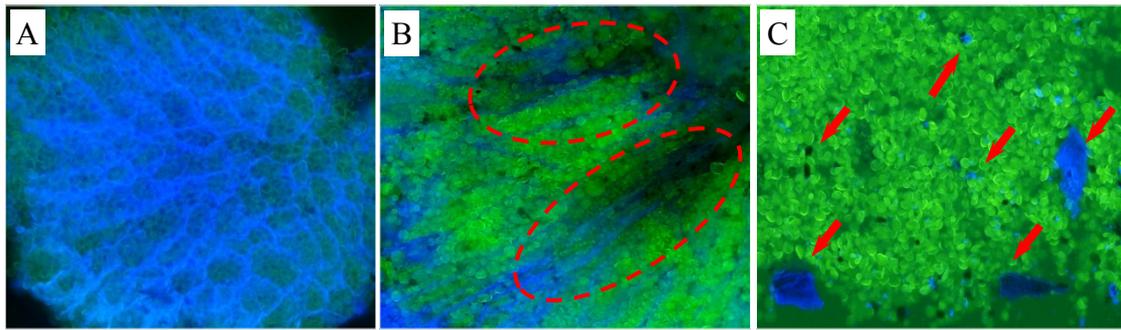
## 209 **3 Results and Discussion**

### 210 **3.1 The basic properties of TE-extraction and HSCV-extraction of BBG**

211 Table 1. Basic characteristics of barley  $\beta$ -glucan extracted and treated differently. In the table,  
 212 TE stands for the traditional extraction; HSCV for the high-speed centrifugal vortex extraction;  
 213 DHPM for dynamic high-pressure microfluidization treatment of TE and HSCV extraction,  
 214 respectively. Data with different letters in the same column are significantly different ( $p < 0.05$ ).

BBG Sample	Relative molecular mass ( $\times 10^6$ )	Purity (%)	Recovery rate (%)
TE-extraction	$2.19 \pm 0.20^a$	$89.4 \pm 1.7^{ab}$	$67.7 \pm 1.2^b$
HSCV- extraction	$1.38 \pm 0.05^b$	$84.7 \pm 2.3^b$	$79.4 \pm 1.7^a$
DHPM (TE-extraction)	$0.97 \pm 0.03^c$	$90.0 \pm 2.1^a$	/
DHPM (HSCV- extraction)	$0.70 \pm 0.01^c$	$86.4 \pm 1.1^{ab}$	/

215 It can be seen from Table 1 that the relative molecular mass and purity of the BBG  
 216 obtained by the traditional extraction method was greater than the relative molecular  
 217 mass of the BBG obtained by the HSCV method. The result was in agreement with  
 218 previous studies (Ahmad, et al., 2010; Beer, et al., 1997; Comino, et al., 2013;  
 219 Maheshwari, et al., 2017; Saulnier, et al., 1994), which indicated that the molecular  
 220 weight of BBG obtained by different extraction methods was different. Perhaps it was  
 221 caused by the difference in the molecular degradation and/or the entanglement state of  
 222 BBG molecules in different extraction processes. However, the purity of the two  
 223 methods differs by about 4.7% and the extraction rate of BBG obtained by HSCV  
 224 extraction was increased by about 11.7%.



225

226 Figure 1. Fluorescence micrograph of the residue after extraction of barley flour. BBG is dyed  
227 blue by calcofluor white and starch granules are dyed green by FITC. Among these pictures, A is  
228 the fluorescence micrograph of the original barley flour, B is the fluorescence micrograph of the  
229 residue after 2 h of hot water extraction at 55 °C, and C is the fluorescence micrograph of the residue  
230 after high-speed centrifugal vortex breaking cell wall extraction for 30 min.

231 In order to increase the dissolution rate of BBG, it was an effective method to  
232 expand the contact area of BBG in the solvent. BBG was mainly distributed in the cell  
233 wall of barley endosperm containing starch granules (Figure 1A), which was consistent  
234 with the results of Miller et al. (Miller, et al., 1994). Comparing Fig. 1B with Figure  
235 1C, it can be found that HSCV extraction could strongly pulverize the cell wall structure  
236 of barley endosperm, disperse the cell wall into fine particles, increase the contact area  
237 between the cell wall and the extraction solvent, and thus made the BBG in the cell wall  
238 more soluble.

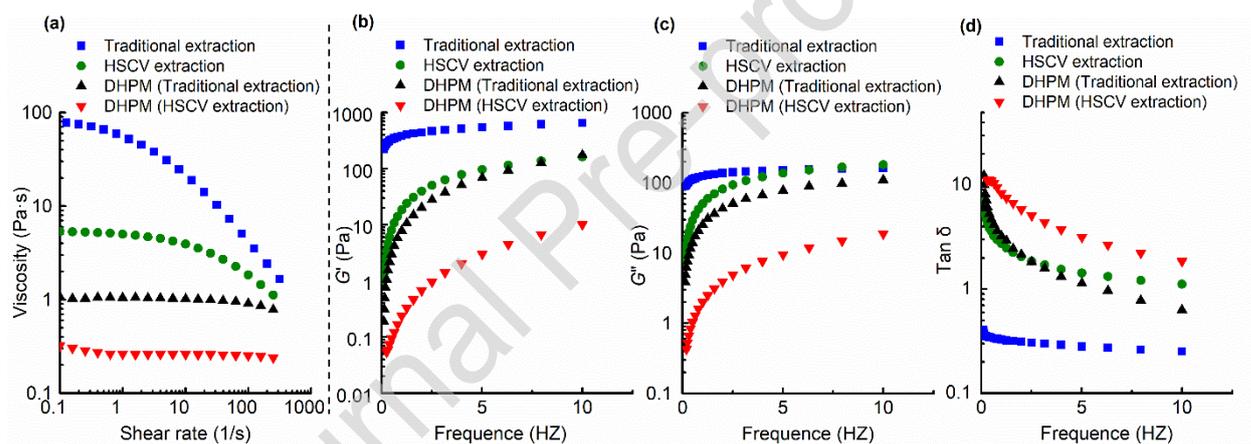
239 Dynamic high-pressure microfluidization (DHPM) is a high-pressure technology  
240 that integrates multi-unit operations such as mixing, crushing, homogenization and  
241 transportation. DHPM can affect the microstructure of materials by high-speed impact,  
242 high-speed shear, instantaneous pressure release, and hole explosion. It is a potential

243 emerging technology for the physical modification of polysaccharides. After the  
 244 extracted BBG sample was treated by DHPM, the relative molecular mass and the  
 245 radius of rotation of BBG decreased (Table 1). These results show that different  
 246 extraction methods and physical treatment methods have significant effects on the  
 247 molecular weight, molecular structure and physical properties of BBG.

## 248 3.2 Effect of the extraction process and physical modification on the solution

### 249 behavior of BBG

#### 250 3.2.1 Rheological properties of BBG



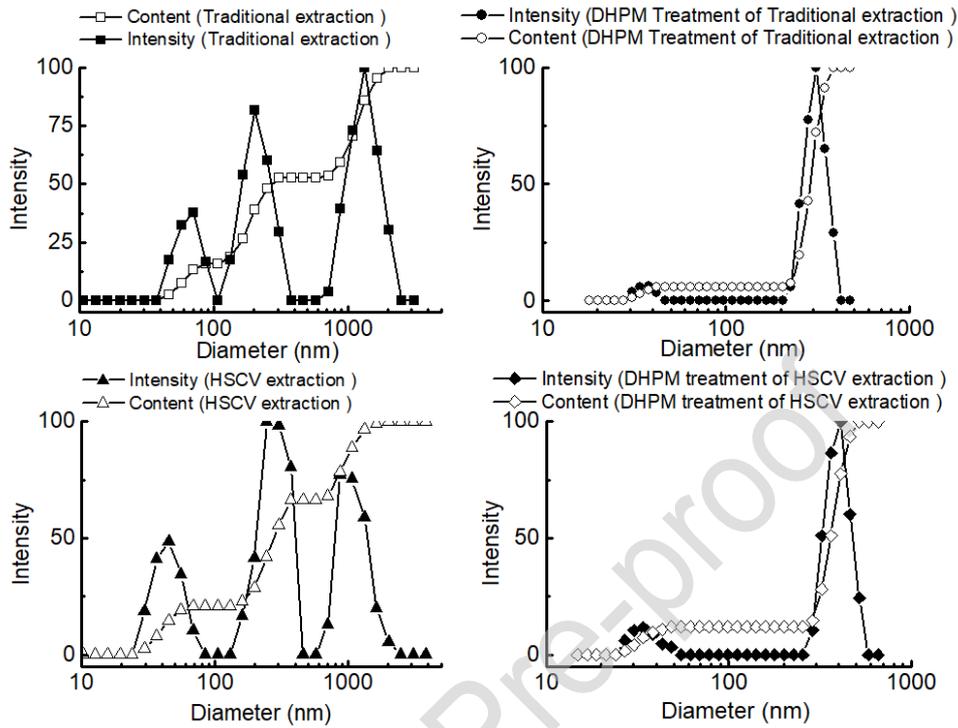
251  
 252 Figure 2. Rheological properties of barley beta-glucan. The concentration of the samples was  
 253 3%. In the figure, (a) is the viscosity curve of BBG solution; (b)-(d) is  $G'$ ,  $G''$  and  $\tan \delta$  of oscillatory  
 254 (dynamic) frequency sweep test of BBG solution, respectively.

255 The viscosity of BBG was considered to be a vital factor for its functional  
 256 properties (Makela, Maina, Vikgren, & Sontag-Strohm, 2017). It is worthy to note in  
 257 figure 1a that the raw BBG solutions without HSCV extraction and DHPM treatment  
 258 were showed more pronounced shear thinning flow behavior. This indicated that the  
 259 process of HSCV extraction significantly affected the rheological properties of the BBG

260 solution, which was consistent with the results of Aktas-Akyildiz et al. (Aktas-Akyildiz,  
261 et al., 2018). As reported by Tosh et al., the differences in response to shear of polymers  
262 are caused by very transient entanglement, such as less transitory intermolecular  
263 hydrogen bonds, relatively stable regions formed by multiple hydrogen bonds, and  
264 aggregation of gel particles, and the increase in  $G'$  at  $\tan \delta > 1$  is the result of polymer  
265 entanglement in the sample system (Susan M. Tosh, Wood, Wang, & Weisz, 2004).  
266 Figure 2 b and c showed that the  $G'$  and  $G''$  of the traditional-method extracted BBG  
267 were the highest and the  $G'$  and  $G''$  of the HSCV-extracted BBG treated by DHPM was  
268 the lowest. At frequencies below 5 Hz, the  $G'$  and  $G''$  were increased significantly of  
269 all the samples except the BBG extracted by the traditional method. While, over the  
270 entire frequency range (0.1-10 Hz), the  $\tan \delta$  of the BBG extracted by the traditional  
271 method is less than 1. The changes in rheological behavior of the BBG solutions might  
272 be due to conformational changes of the BBG molecules from a random-coil type to a  
273 more ordered form, which might be related to interchain aggregation (Lazaridou,  
274 Biliaderis, Micha-Screttas, & Steele, 2004). According to a popular model, the  
275 aggregation of BBG was caused by the interconnection of more than three consecutive  
276  $\beta$ -(1 $\rightarrow$ 4)-linked D-glucopyranosyl units of cellulose-like structure (Fincher & Stone,  
277 1986). BBG linear chains would assemble through hydrogen bonds between these  
278 cellulose-like regions, and the microgel appeared a somewhat fringed micelle structure  
279 (Claudia Zielke, Lu, & Nilsson, 2019). Thus, it should be noted that the conformation  
280 of the BBG molecules and the intermolecular entanglements play an important role in

281 the rheological properties.

### 282 3.2.2 Particle size distribution of BBG in solution



283

284

Figure 3. Particle size distribution of barley beta-glucan.

285 The conformation of BBG usually appears as a random coil, single helix, multi-

286 helical structure, and is remarkably affected by intermolecular forces, temperature and

287 solvent (Wang, et al., 2017). Different extraction processes would cause changes in the

288 factors that affect the BBG conformation, so that BBG exhibits different aggregation

289 states. The particle size distribution is one of the indexes for the state of aggregation of

290 BBG. It can be seen from Figure 3 that the BBG extracted by the two methods had three

291 different polydisperse systems. This polydisperse system was similar to the solution

292 aggregation model of Korompokis et al., who reported that there were primary

293 aggregates, secondary supramolecular aggregate structures (microgel structures), and

294 high density secondary aggregates in oat beta-glucan solution (Korompokis, Nilsson,  
295 & Zielke, 2018). The proportion (about 30%) of BBG particles with large diameter  
296 (500-1000 nm) extracted by HSCV was smaller than that extracted by traditional  
297 methods (about 50%). This might be that the conformation of the BBG was changed  
298 during the HSCV extraction process. This change inturns affected the aggregation of  
299 BBG in solution, which might be the reason why the shear effect changed the  
300 rheological properties of BBG.

301 The BBG obtained by two different extraction methods were treated by DHPM  
302 respectively. After DHPM treatment, the particle size was mainly distributed at about  
303 300 nm, indicated that the DHPM treatment made the particle size distribution of BBG  
304 uniform. It is well known that the nutritional function of  $\beta$ -glucan is determined by  
305 physicochemical and structural properties (Korompokis, et al., 2018). One of the most  
306 commonly discussed mechanisms is that  $\beta$ -glucan can form a gel in solution, increasing  
307 the viscosity of the chyme and thus exerting physiological functions (Grundy, et al.,  
308 2017). According to Grimm et al., the particle weight of  $\beta$ -(1,3)(1,4)-glucan strongly  
309 depends on the external forces (Grimm, Krüger, & Burchard, 1995). The particle size  
310 distribution and aggregation state of BBG are the important factors affecting the  
311 solution viscosity and gel network structure, and thus affecting the functional properties  
312 of  $\beta$ -glucan. It was found that the gelation process of cereal beta-glucan (BBG, oat  $\beta$ -  
313 glucan) depend on the molar mass and aggregation state of the beta-glucan molecules  
314 (Makela, Maina, et al., 2017). Therefore, the behavior of BBG in solution was the final

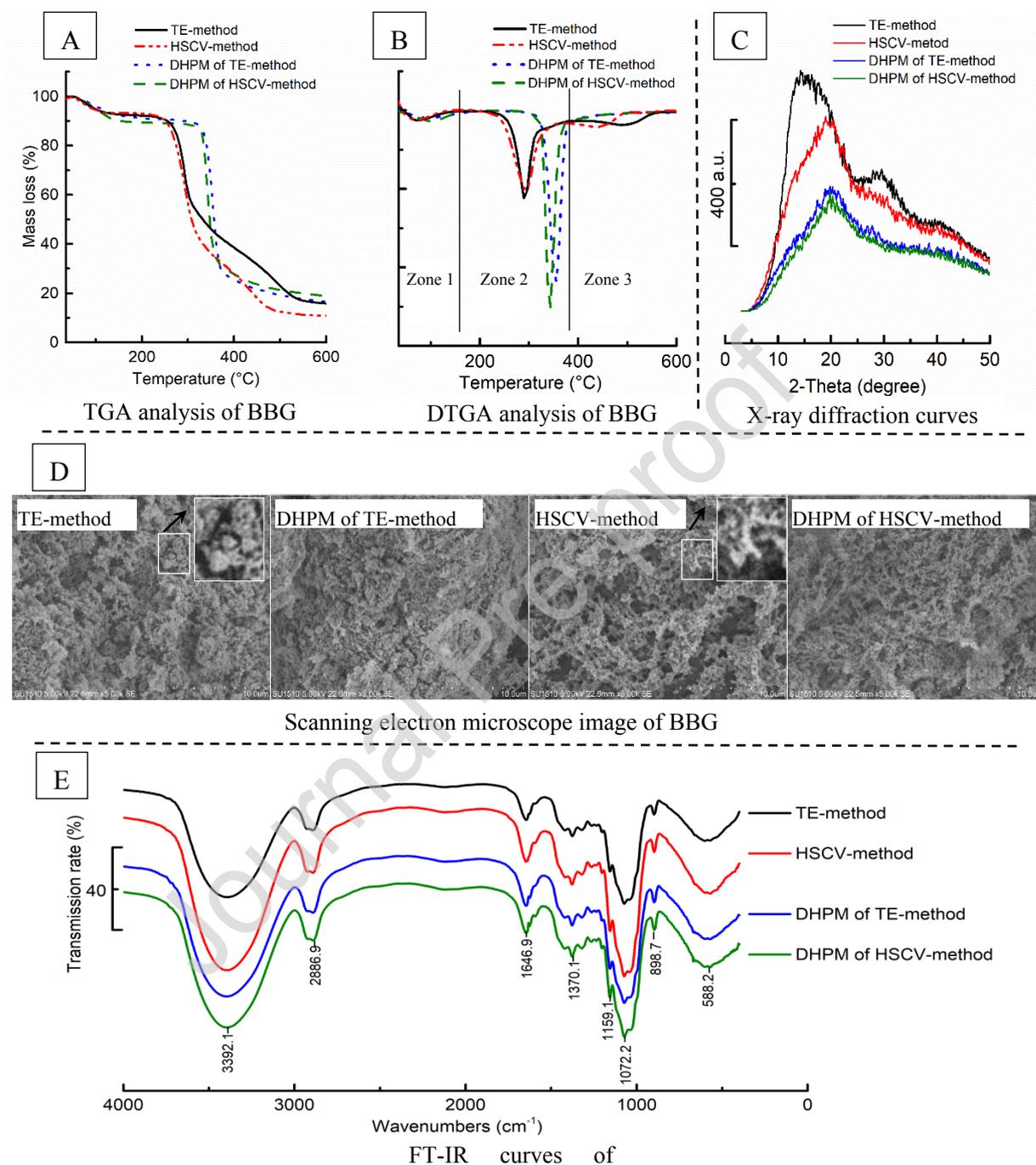
315 result of a series of effects during extraction and processing.

### 316 **3.3 Effect of the extraction process and physical modification on the** 317 **molecular structure of BBG**

318 According to the DTGA curve of BBG, there were three peaks during the  
319 temperature rise from 30 °C to 600 °C, showed that BBG thermal decomposition has  
320 three stages. According to Zamora et al. (Zamora, et al., 2002), these three stages  
321 corresponded to the loss process of water (30-150 °C), the preliminary thermal  
322 decomposition process (250-350 °C, polysaccharide long-chain dehydration reaction,  
323 scissions of C–O bonds), as well as char-forming reactions of the polymer molecules  
324 (350-600 °C). As can be seen from Figure 4A, the mass loss trend of the BBG obtained  
325 by the two different extraction methods was the same when the temperature ranging  
326 from 150 to 350 °C. It was indicated that different extraction methods do not affect the  
327 water evaporation and preliminary thermal decomposition process of BBG. When the  
328 temperature was above 350 °C, the BBG molecules completely depolymerize and begin  
329 to carbonize (Zamora, et al., 2002). The pyrolysis temperature of the BBG extracted by  
330 the traditional method was higher than that of the BBG extracted by HSCV method (as  
331 shown in zone 3 of Figure 4B). The thermal stability of the BBG extracted by the HSCV  
332 method was lowered. However, different from raw BBG samples extracted by the two  
333 extraction methods, after the DHPM treatment, there were only two thermal  
334 decomposition process; moreover, the thermal decomposition temperature of the HSCV  
335 extraction of BBG was slightly lowered (zone 3 in figure 4B). Why the BBG

336 decomposition process differences? We thought this might be due to the changes in the

337 aggregation state of BBG molecules.



338

339 Figure 4. Structural analysis of BBG molecules. Figure 4A-4E are respectively TGA analysis

340 of BBG; DTGA analysis of BBG; X-ray diffraction curves of BBG; scanning electron microscope

341 image of BBG; FT-IR curves of BBG.

342 The microscopic photograph of the BBG extracted by the traditional method (TE-  
343 method) could be observed a lot of cluster-like structures like knotted "wool clusters"  
344 (Figure 4D). These small clusters had a dense surface and no fine pore structure. This  
345 dense microstructure might indicate the existed of high-strength topological  
346 entanglement and/or intermolecular aggregation (Karimi, Azizi, & Xu, 2019). The  
347 photomicrographs of the BBG extracted by the HSCV method showed a coral-like  
348 branched structure. These microscopic features with a large number of small pores were  
349 expected to produce greater adsorption capacity and better water holding capacity. The  
350 molecular weight of BBG extracted by the HSCV method was smaller than that of TE-  
351 method. It has been reported that samples with a lower molecular weight are prone to  
352 aggregation (Ren, Ellis, Ross-Murphy, Wang, & Wood, 2003). Whereas when the  
353 molecular weight increased, the size of the aggregates is significantly increased (Wu,  
354 et al., 2006). Therefore, BBG extracted by the TE-method formed a large cluster-like  
355 aggregate structure, supporting results shown previously that the BBG extracted by TE-  
356 method with larger particle size. Furthermore, after DHPM treatment, the  
357 photomicrographs exhibited more porous and spongy structure than before, appeared  
358 as complex networks. At the same time, the cluster-like structure of BBG extracted by  
359 the TE-method suggested a high entanglements structure of the BBG molecular, and  
360 this denser state required higher thermal decomposition temperature. However, in the  
361 coral-like branch structure of the BBG extracted by HSCV method, the fine branches  
362 were more easily depolymerized and fractured, thus the degradation occurred at lower

363 temperatures, but the degradation process was prolonged. Such aggregation state  
364 changes might be one explanation of the differences in the BBG decomposition process  
365 obtained by different extraction processes in thermogravimetric analysis (Figure 4B).

366 An investigation based on X-ray diffraction has been shown BBG is crystallized  
367 in the same way as lichenan (Tvaroska, Ogawa, Deslandes, & Marchessault, 1983). By  
368 observing the X-ray curves of different types of BBG, it was found that the peak area  
369 and peak width of BBG extracted by the TE-method were larger than those of BBG  
370 extracted by the HSCV method. A larger peak width means that there are multiple  
371 crystal-like forms and lattice types in the crystalline portion of the sample. At the same  
372 time, the BBG extracted by TE-method had a larger molecular mass and was not easy  
373 to form a gel network structure (Ren, et al., 2003), but could form a larger size  
374 aggregates (Wu, et al., 2006), so that resulted in the presence of both microgels and  
375 intermolecular macromolecular entanglements in BBG samples. In other words, in the  
376 BBG samples, the relatively regular crystalline and amorphous forms exist in the same  
377 system.

378 The primary structure and conformation of  $\beta$ -glucan play an important role in its  
379 biological activity. For example, the antitumor activity of a lentinan consisting of  $\beta$ -  
380 (1 $\rightarrow$ 3) linkages was markedly reduced when the conformation was destroyed (Zhang,  
381 Li, Xu, & Zeng, 2005). Fourier transform infrared spectroscopy (FT-IR) can monitor  
382 the structural changes in biopolymers for structural analysis of polysaccharides to  
383 determine the chemical functional groups and intermolecular chemical bonds in

384 samples (Ahmad, et al., 2010; Hematian Sourki, Koocheki, & Elahi, 2017). It could be  
385 observed by the FT-IR spectrum from Figure 4E that it was a characteristic absorption  
386 peak of the  $\beta$ -glycosidic bond at  $896\text{ cm}^{-1}$ , which was consistent with the structure of  
387  $\beta$ -glucan. Comparing the FT-IR curves of the different BBG samples in Figure 4E, it  
388 was found that the characteristic absorption peaks were consistent in the infrared  
389 spectra of all BBG samples, indicating that the primary structure of the BBG molecules  
390 was not altered. From the above analysis, it concluded that after different extraction  
391 methods and high-speed vortex centrifugal shearing treatment, the molecular weight  
392 and molecular chain conformation of BBG were changed, resulting in different  
393 polymerization states, and it appeared as a change in microscopic morphology and X-  
394 ray diffraction results. The different ways in which these BBG molecular chains were  
395 entangled and agglomerated in turn lead to changes in the TGA thermal stability and  
396 rheological properties of BBG.

#### 397 **4. Conclusions**

398 This study compared the new high speed centrifugal-vortex extraction method to  
399 the traditional method. Then the extracted BBG was treated by dynamic high-pressure  
400 microfluidization (DHPM). The molecular weight, solution behavior and structural  
401 features of BBG were analyzed. The new method reduced the extraction time by half,  
402 increased the yield by 13.8%. After DHPM treatment, the particle size distribution of  
403 the BBG solution was more uniform, and the solution showed a stronger fluid property  
404 and lower viscosity. Although the molecular weight was reduced by DHPM treatment,

405 the FT-IR and microstructure results showed that the exogenous physical treatment did  
406 not change the primary structure but changed the intermolecular aggregation state of  
407 the BBG molecules. These results indicated that the high-level structure and  
408 aggregation state of BBG molecules was one of the noticeable reasons why the  
409 extraction and physical treatment that affect their solution behavior.

#### 410 **Conflicts of interest**

411 The authors declare that they have no conflict of interest.

#### 412 **Acknowledgment**

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- 568

## Highlights

Established a new method assisted by high speed centrifugal-vortex (HSCV) to accelerate the extraction of BBG.

HSCV method reduced the extraction time by half and increased the yield by about 10%.

Extracting history and exogenous physical treatment affected the physicochemical behavior of BBG.

The exogenous physical treatment did not change the primary structure but changed the intermolecular aggregation state of the BBG molecules.

Intermolecular aggregation states and high-level structure of BBG were the noticeable factors influence BBG's solution behavior.

Journal Pre-proof